# Role of Prophylactic Cranial Irradiation in Small Cell Lung Cancer



Kazi S. Manir MD,DNB,ECMO,PDCR Clinical Tutor Department of Radiotherapy R. G. Kar Medical College and Hospital, Kolkata



# SCLC

- 15% of lung cancer
- 60% Extensive Stage Disease (ED)
- MS 23m for Limited Stage Disease (LD)
- MS 7-8m for ED
- 20% Brain mets at diagnosis
- 50-80% brain relapse after 2years of CTRT



SCLC

# The background...

Childhood Leukemia Systemic disease Wide spread at diagnosis CT & RT sensitive High CR rate High relapse rate CNS relapse

PCI

AND DECAL OF

Cancer Chemother Rep

Volume 4, Issue 4, Pages 239-241

Should initial treatment of small cell carcinoma include systemic chemotherapy and brain irradiation?

Hansen, H.H.

#### CNS METASTASES IN SMALL CELL BRONCHOGENIC CARCINOMA Increasing Frequency and Changing Pattern with

Lengthening Survival

JOHN L. NUGENT, MD, PAUL A. BUNN, JR., MD, MARY J. MATTHEWS, MD, DANIEL C. IHDE, MD, MARTIN H. COHEN, MD, ADI GAZDAR, MD, AND JOHN D. MINNA, MD

The records of 209 patients with small cell bronchogenic carcinoma were reviewed to define the problem of CNS metastases. CNS metastases were documented in 102 of these patients (49%) and 55 of 85 autopsied patients had CNS metastases (65%). The probability of developing a CNS metastasis increased with lengthening patient survival to a level of 80% after 2 years. As in other series, the cerebrum was the most frequently involved site. In addition, leptomeningeal, spinal, pituitary, and cerebellar metastases, and multiple sites of involvement were far more common than in previously reported series. Patients with bone marrow and liver metastases at initial staging were more likely to develop CNS metastases than those without these metastases. Bone marrow involvement was strongly associated with the development of leptomeningitis. Systemic chemotherapeutic agents which cross the blood brain barrier did not prevent the high frequency of CNS metastases. Pathologic studies suggested cerebral and leptomeningeal metastases may arise via hematogenous spread or via penetrating vessels from bone marrow to the subarachnoid space. Therapy for CNS metastases provided adequate palliation, and the majority of deaths were due to systemic rather than neurologic disease. Nevertheless, prophylactic therapy appears necessary at present to prevent the morbidity associated with these metastases. As further improvements in systemic therapy evolve, CNS prophylaxis may also be required for "cure" of patients with small cell lung cancer.

Cancer 44:1885-1893, 1979.



Heine Hoi Hansen, MD 1938-2011



#### Cancer Treat Rep. 1981 Sep-Oct;65(9-10):811-4.

# Risk of brain metastasis from small cell carcinoma of the lung related to length of survival and prophylactic irradiation.

Komaki R, Cox JD, Whitson W.

#### Abstract

The problem of brain metastasis from small cell carcinoma (SCC) of the lung has been appreciated for many years, but the magnitude of the problem has been underestimated. Recent studies have shown that the risk of brain metastasis increase as survival is prolonged. Although prophylactic cranial irradiation (PCI) has reduced the frequency of brain metastases, the effect on risk estimates of differences in the periods of observation was not evaluated. From 1974 through 1979, 131 patients with SCC of the lung who had no evidence of brain metastasis by radionuclide or computerized tomographic scan were treated in the Division of Therapeutic Radiology at the Medical College of Wisconsin Affiliated Hospitals. PCI was started in 1977; 57 patients received it and 74 did not. To correct for the differing periods of observation for the two groups, an actuarial calculation of the probability of brain metastasis was used. The calculated rate of clinical failure in the brain for patients who did not receive PCI was 28% at 12 months and 58% at 24 months. The calculated failure rate of the brain for patients who received PCI was 11% at 12 and 24 months. The difference in the probability of brain metastasis between the patients who did or did not receive PCI is highly significant (P less than 0.01). The true benefit of PCI becomes apparent only when the risk of intracranial metastasis is evaluated by methods that correct for incomplete followup. PCI eliminates the progressive increase in the risk of brain metastasis that accompanies increased survival and is important to maximize the probability for cure of patients with SCC.



# To be discussed..

- Historical evaluation
- Benefits
- Regimen
- Long term neurological toxicities
- Timing
- Candidates



# The goal for PCI

Decreased Brain Relapse
Improved Survival
Improved QoL
Least toxicity



# **Earlier studies**

Study	Design	Criteria	Brain relapse	Survival	Neurological function	Remarks
PCI 85 trial Arriagada et al. (1995)	RCT PCI vs Obs (N= 300)	All stage After CR	2years 19% Vs 40%	2yr OS 29% Vs 21% (NS)	No difference	41% baseline neurological dysfunction
UK 02 trial Gregor A et al. (1997)	RCT PCI 36Gy/18# 24Gy/12# Obs (N =314)	Limited stage After CR	HR 0.44	OS HR 0.86 (NS) MFS HR 0.75	No difference (1yr F/U)	40% baseline neurological dysfunction

# **Earlier studies**



CNS relapse rates in untreated controls and treated patients categorized according to the extent that brain imaging was performed at diagnosis or prior to randomization to exclude early metastatic disease in the brain.

Proportion with brain imaging	Modality	CNS relapses without PCI	Percent	CNS relapses with PCI	Percent	Timing of relapse
Not stated Slotman(†E) Wagner(†E+†L)		59/143 8/15	41% 53%	24/143 3/16	17% 19%	Initial and late Initial and late
*The minority Gregor(‡L) Hansen(†E+‡L) Laplanche(†E+‡L)	CT (13%) None if asymptomatic None	65/120 Not stated 57/111	54% 51%	74/194 Not stated 44/100	38% 44%	Initial and late
		Total 122/231	53%	Total 118/294	40%	
*The majority Aroney(†E+‡L) Arriagada(†E+‡L) Beiler(†E+‡L) Cao(‡L) Eagen(‡L) Jackson(†E+‡L) Maurer(†E+‡L) Niranen(‡L) Ohonoshi(†E+‡L) Seydell(‡L)	CT CT Nuclear medicine CT CT Nuclear medicine CT/nuclear medicine Nuclear medicine CT	57/139 67/149 5/31 8/25 11/15 4/15 15/84 7/26 12/23 22/122	41% 45% 16% 32% 73% 27% 18% 27% 52% 18%	6/30 28/145 0/23 1/26 2/15 0/14 3/79 0/25 5/23 5/107	20% 19% 0% 3.8% 13% 0% 4% 0% 22% 5%	Initial and late Initial Initial Initial and late Initial and late Initial and late Initial and late Initial and late Initial and late Initial and late
		Total 208/629	33%	50/487	10%	

Davey P et al. Lung Cancer 2015

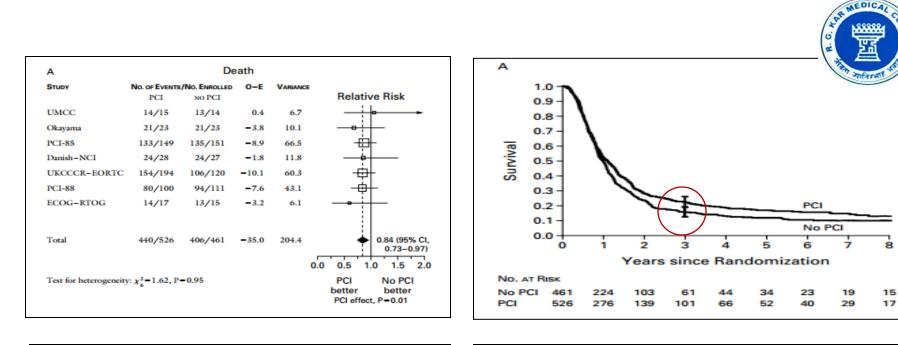
# PCI in LD

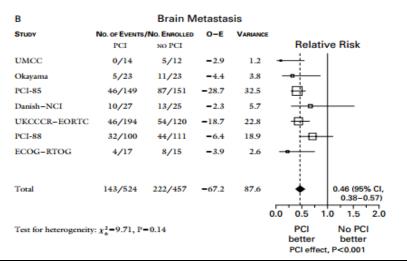


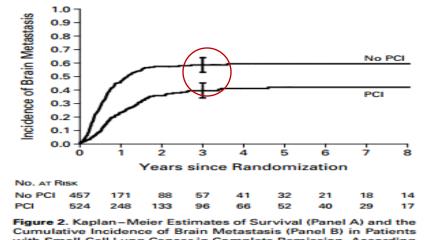
### PCI OCG Meta-analysis (1977-1995)

- 7 RCTs (n= 987) [LD 85%] PCI vs Obs (CR on X Ray)
- 30% absolute decrease in brain relapse at 3years.
- 9% absolute increase in DFS in 3 year DFS
- OS benefit 6 % in 3years (21 % vs 15%).
- Consistent benefit among subgroups (Age, PS, LD vs ED, and type of induction therapy).
- Trend of improved OS Brain Control in earlier PCI and higher dose.

Auperin A et al. NEJM 1999







8

with Small-Cell Lung Cancer in Complete Remission, According to Whether They Were Assigned to Treatment with Prophylactic Cranial Irradiation (PCI).

PCI OCG Meta-analysis : Auperin A et al. NEJM 1999



# BMC Meta-analysis (2001)

- 12 RTCs (N = 1547)
- PCI in post Chemotherapy CR.
- Decrease of brain metastases incidence (HR: 0.48 for all the studies.
- Improvement of survival (HR: 0.82) in patients in CR.
- Long-term neurotoxicity was not adequately described.



# SEER database: Retrospective review

- 1988-1997
- 7995 patients
- Retrospective series
- Median F/U 13month (1-180m)

Arm	2years (%)		5years (%)		10years (%)	
	CSS	OS	CSS	OS	CSS	OS
РСІ	45	42	24	19	17	9
Control	28	23	15	11	11	6

• Consistent results irrespective of age and stage

Patel S et al. 2009 Cancer

Zhang et al. BMC Cancer 2014, 14:793 http://www.biomedcentral.com/1471-2407/14/793



EDIC

#### RESEARCH ARTICLE



#### Prophylactic cranial irradiation for patients with small-cell lung cancer: a systematic review of the literature with meta-analysis

Wenwen Zhang<sup>1</sup>, Wenjing Jiang<sup>1</sup>, Linlin Luan<sup>1</sup>, Lili Wang<sup>2</sup>, Xiangrong Zheng<sup>2</sup> and Gongchao Wang<sup>1\*</sup>

	PCI		No P	CI		<b>Risk Ratio</b>	Risk Ratio
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Fixed, 95% C	M-H, Fixed, 95% Cl
CAO Ka-jia 2005	17	26	19	25	3.4%	0.86 [0.60, 1.23]	
Gregor 1997	154	194	106	120	22.7%	0.90 [0.82, 0.99]	
Laplanche 1998	80	100	94	111	15.4%	0.94 [0.83, 1.07]	
Schild 2012	409	459	272	280	58.5%	0.92 [0.88, 0.95]	•
Total (95% CI)		779		536	100.0%	0.92 [0.88, 0.95]	•
Total events	660		491				
Heterogeneity: Chi <sup>2</sup> =	0.51, df = 3	3 (P = 0	).92); l² =	0%			
Test for overall effect: Z = 4.49 (P < 0.00001)						0.5 0.7 1 1.5 2 Favours [PCI] Favours [No PCI	
igure 5 Relative-risk plot	s for death	of 5 ye	ar.				





- PCI after response to initial therapy is strongly recommended.
- Robust data
- PCI improves Brain Control
- PCI improves survival
- No Major neurocognitive dysfunction

# **PCI in Extensive Disease**



EORTC 08993-22993 (2007) RCT (n = 143)

- PCI vs Obs (after ANY response to 4/6c CT)
- No baseline / repeated neuroimaging
- 6 fractionation regimens used (BED 25-39Gy)
- Lower risk of symptomatic brain metastases (hazard ratio, 0.27
- Risk of brain metastases within 1 year was 15 % vs 40 %
- Median DFS 14.7 wks vs 12 wks.
- Median OS from 6.7 m vs 5.4 m (after randomization).
- The 1-year survival rate was 27 vs 13 %.

Slotman B. et al. N Engl J Med 2007;357:664-72.

# PCI evidence: Subset analysis on ED



Study	Design	Brain control	Survival
Arriagada et al. 1997	RCT (n= 294,19% ED)	Improved	Improved (NS)
PCI Meta-analysis 1999 Auperin et al.	7 RCTs (n= 987,16%ED)	Improved (HR 0.44)	Improved (HR 0.87)
BMC Meta-analysis 2001 Meert AP et al.	7 out of 11 RCTs (n= 821 all stage)	Improved (HR 0.50)	Subset analysis absent
SEER database 2009 Patel S et al.	Retrospective (n= 7995)	Improved	CSS,DFS and OS improved





2014 ASCO Annual Meeting

Prophylactic cranial irradiation has a detrimental effect on the overall survival of patients with extensive disease small cell lung cancer: Results of a Japanese randomized phase III trial

Takashi Seto, Toshiaki Takahashi, Takeharu Yamanaka, Hideyuki Harada, Hiroshi Nokihara, Hideo Saka, Makoto Nishio, Kazuhiko Nakagawa, Koichi Takayama, Osamu Ishimoto, Koji Takeda,

Hiroshige Yoshioka, Motoko Tachihara, Hiroshi Sakai, Koichi Goto, and Nobuyuki Yamamoto



- ED SCLC patient (n = 163) Post Chemo CR (MRI)
- RCT: 25Gy/10# PCI vs Obs
- Primary end point OS
- Secondary end point BM, PFS, A/E
- Interim analysis 9.4 months study stopped
- Risk of Brain Metastasis reduced in PCI.
- Poorer Median OS in PCI arm 10.1 vs 15.1months
- But PFS similar
- A/E similar



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#### JOURNAL OF CLINICAL ONCOLOGY

#### ASCO SPECIAL ARTICLE

#### Treatment of Small-Cell Lung Cancer: American Society of Clinical Oncology Endorsement of the American College of Chest Physicians Guideline

Charles M. Rudin, Nofisat Ismaila, Christine L. Hann, Narinder Malhotra, Benjamin Movsas, Kim Norris, M. Catherine Pietanza, Suresh S. Ramalingam, Andrew T. Turrisi III, and Giuseppe Giaccone

In patients with LS or ES SCLC who achieve a complete or partial response to initial therapy, prophylactic cranial irradiation [PCI] is recommended (grade 1B). *Remark: The regimen of 25 Gy in 10 daily fractions has the greatest supporting data for safety and efficacy. The panel notes that a recent Japanese study failed to demonstrate survival advantage with PCI in patients with ES SCLC. On publication of the mature data from this study, the recommendation for PCI in ES SCLC might be subject to revision.* 



# **PCI in Extensive Stage**

- Consistent outcome data similar to Limited stage disease.
- Improved Brain Relapse rate.
- Improve Survival in some trials.
- Matured data from Japanese trial is pending.

• PCI after good response to initial treatment is still beneficial .

# PCI after CR/PR



Study	Design	Inclusion	Mode	Brain control	Survival
Arriagada et al. 1995	RCT N=300	All stage CR	CXR , Bronchoscopy	Improved	NS
Auperin et al. 1995	Meta analysis N = 987	All stage CR	CXR, CT, Bronchoscopy	HR 0.46	HR 0.84
BMC 2001 Meert AP et al.	Meta-analysis N= 1547	All stage CR/PR	CT Scan	All : HR 0.49 CR: HR 0.48	All: HR 0.94 CR: HR 0.84
Slotman et al. 2007	RCT N =145	ED Any response	Not mentioned	HR 0.24	OS DFS improved



- Non uniform response evaluation criteria.
- No study separately addressed the issue.
- Few studies included Partial Responses after initial treatment.
- Benefits in both Complete and Partial response after initial treatment.

### Age



Table 2. Estimates of Cause-specific and Overall Survival by Age Grouping With or Without PCI CSS Ρ OS Age Group Ρ 10-year 5-year 5-year 10-year <60 y With PCI 30% 21% <.0001 25% 16% .0001 Without PCI 21% 16% 17% 12% 60-66 y With PCI 21% 15% .007 16% 7% .004 Without PCI 17% 12% 13% 7% 67-72 y With PCI 22% .002 15% 16% 5% .0005 Without PCI 15% 12% 10% 5% ≥73 y With PCI 18% 7% .0001 10% 2% <.0001 Without PCI 5% 9% 6% 1%

PCI indicates prophylactic cranial irradiation; CSS, cause-specific survival; OS, overall survival.

#### SEER database Patel S et al. 2009

Age >60 years significant predictor for long term neurotoxicity after PCI.

Glanz MJ et al. 1997 RTOG 0212 Wolfson AH et al. 2012

# Regimen

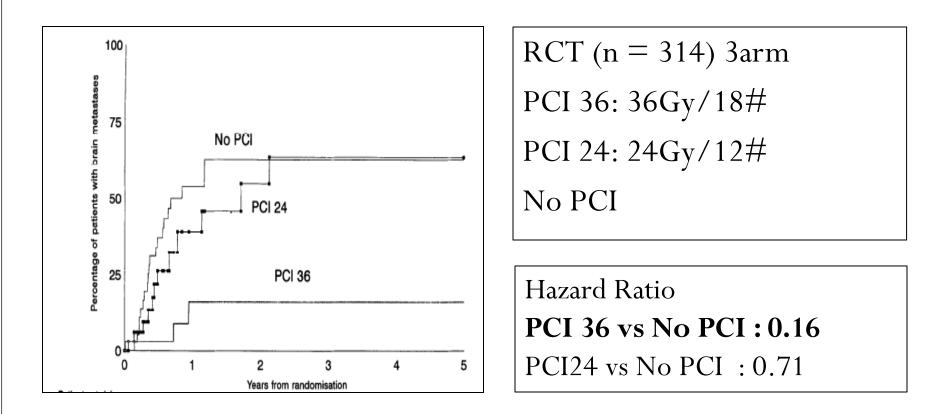


Total Dose (Gy)	#	# size (Gy)	HR
36	18	2	0.16
20	5	4	0.23
30	10	3	0.28
8	1	8	0.44
24	8	3	0.45
30	15	10	0.49
24	12	2	0.71

Gregor A et al. Eur J Cancer 1998 Arriagada R et al. J Natl Caner Inst 1995 Young GY et al. The Oncologist 2001



### UK02 trial (Gregor A et al.), UK (1997)



Non significant survival advantage : PCI vs NO PCI (HR 0.86) No Major difference in neurotoxicity and QoL between two schedule



Intergroup study (PCI 99-01,EORTC 22003-08004, RTOG 0212, and IFCT 99-01)

#### • RCT

- LD-SCLC patients (n = 720) (PCI after CR to chemo- RT)
- Standard dose (25 Gy/2.5 Gy QD)
- Higher dose (36 Gy/2 Gy QD)
- High dose (36 Gy/1.5 Gy b.i.d.)
- Baseline and follow up Neuro QoL evaluation.
- No significant difference in 2-year incidence of brains metastases (29% vs 24%)
- Poorer 2-year OS in higher dose group (37 vs. 42%) probably due to increased cancer-related mortality.

Le Pechoux et al. Lancet Oncol 2009;10:467-474.



# Intergroup study (PCI 99-01,EORTC 22003-08004, RTOG 0212, and IFCT 99-01)

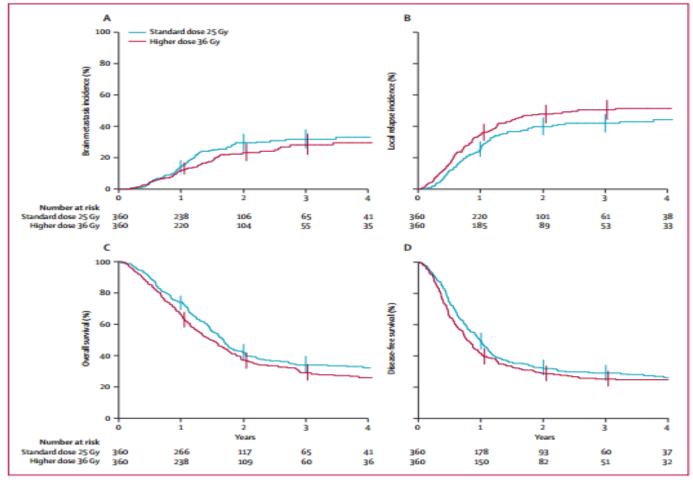


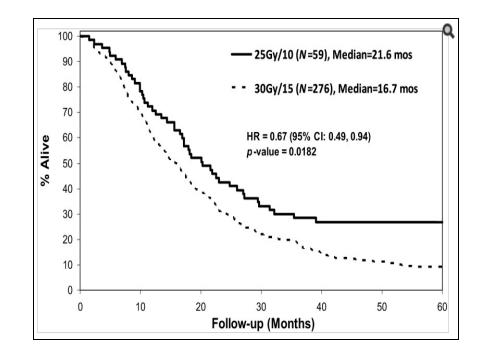
Figure 2: Kaplan-Meier curves showing total incidence of brain metastasis (A), local relapse (B), overall survival (C), and disease-free survival (D)

Le Pechoux et al. Lancet Oncol 2009;10:467-474.

### NCCTG pooled analysis



- N= 421 (LD)
- PCI 25Gy/10#
- PCI 30Gy/10#
- No PCI



# Improved survival with 25Gy/10# (HR 0.67)

Schild SE et al. Ann Oncol 2012



#### RTOG 0212: Primary Analysis

#### Phase II RCT : 3arm (n = 265)

- Standard (25Gy/10#)
- Experimental High Dose (36Gy/18#)
- Experimental High Dose (36Gy/24#/1.5Gy BID)
- Neurotoxicity and QoL analysis

#### Initial results:

- Median F/U 25.3months
- Increased chronic neuro toxicity (at 1year) in 36/18# arm.
- Brain relapse rates (at 1 year) are lower in higher doses (22% vs 10% vs 21%)

Wolfson AH Int J Radiat Oncol Biol Phys 2011



# Regimen

25Gy/10# in LD SCLC is Standard regimen till today.

- Better Brain control
- Lesser Neurotoxicity
- ? Better survival
- Improved survival with higher doses from initial RTOG 0122 data is encouraging.

# Timing



Auepeirn et al. 1999 (n = 633) Meta-analysis	Time interval between induction tt and Randomisation <4m 4-6m >6m	Risk of Brain mets HR 0.27 vs 0.50 vs 0.69	Greater effect in soon after randomisation
Suwinsky R et al. 1999 (n = ?)	Review Interval between tt initiation and PCI Early (<60days) Vs Late (>60days)	Better dose response for brain control	
Meert AP et al. 2001 (n = 1547) Meta-analysis	Initial vs Late PCI (Time frame not mentioned)	Brain relapse HR 0.29 (initial PCI)	Better Brain Control
Korczy'nska BS et al. 2010 (n = 129)	Early (during TRT) Late after TRT	Brain mets rate 7% vs 21%	Better brain control



# Timing

- Non-uniformity of definitions of "early" versus "late" PCI.
- Little evidences direct comparisons.
- Early initiation after completion of initial treatment (?< 6months of CTRT) is beneficial.
- Decreased brain relapse rate if initiated early.
- No impact on survival.

## **Neurotoxicity: Older series**



Study	Survivors	Study	Tool	Results
Catane et al. 1981 (n=71)	26m (18- 42)	PCI PCI + IT MTX No PCI (Prospective)	Clinical EEG CT Scan	Only CT abnormality No Clinical /EEG change
Johnson BE et al. 1990 ( n = 15)	>4 years	Observational (Prospective)	CECT MRI Clinical	Only CT/MRI changes No dramatic decline in clinical parameter
Lee JS et al. 1987 (n = 38)	>3yrs	PCI + Pal WBRT Vs No PCI (Retrospective)	CT Clinical	More clinical and CT changes
Lishner M et al. 1990 (n = 58)	>2years	PCI vs No PCI (Retrospective)	Clinical	19% vs 10% neurological problems
Frytak S et al. 1989 (n = 283)	>1.5 years	PCI vs No PCI (Retrospective)	Clinical	>25% more neuro toxicity



# Neurotoxicity: Older series

#### van Oosterhout AG et al. (1995)

- Comparative with matched control (n=14)
- No significant neurocognitive impairment during or after treatment
- Disease related cognitive impairment may play a role.

### Komaki R et al. (1995)

- Observational Post PCI LD (n = 30)
- 97% patient had pretreatment neurocognitive impairment
- No significant deterioration in short term follow up (6month-20months)

### **Inter group Pooled analysis**



# Pooled data LD PCI: PCI 99-01, EORTC 22003-08004, RTOG 0212 and IFCT 99-01

QoL and Neurocognitive analysis 25Gy and 36Gy

- N = 720
- >2years survivors
- CECT and MRI assessment
- Neurological assessments (subjective and objective)
- Only 5% Grade II communication deficit, weakness of legs, intellectual deficit and memory abnormalities in PCI arms.
- Age > 60-65 yrs. a major co-factor.
- No difference between two fractionation schedule

Le Pe' choux C. Ann Oncol 2011



## Long term neurotoxicity

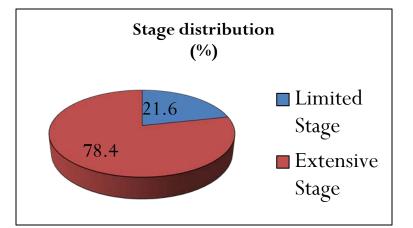
- No direct prospective comparative evaluation in long term survivors.
- A significant population of cognitively impaired patients before PCI.

**Based on available evidences :** 

- CECT / MRI changes do occur.
- Minor non-meaningful changes in Clinical Neuro QoL.
- > 60yrs of age/?ED major risk for <sup>\*</sup> Neuro QoL

#### Institutional audit R.G. Kar Medical College data

Time period	2013-2016
Total SCLC patients	97
Number of PCI cases	11 (11.3%)
PCI in LD	7(63.6%)
PCI in ED	4(36.3%)
Fractionation	
25Gy/10#	9(81.1%)
30Gy/10#	2(18.1%)
EBRT Fractionation	(PCI cases)
45Gy/25# BID	7(63.6%)
59.4Gy/33#	4(36.3%)

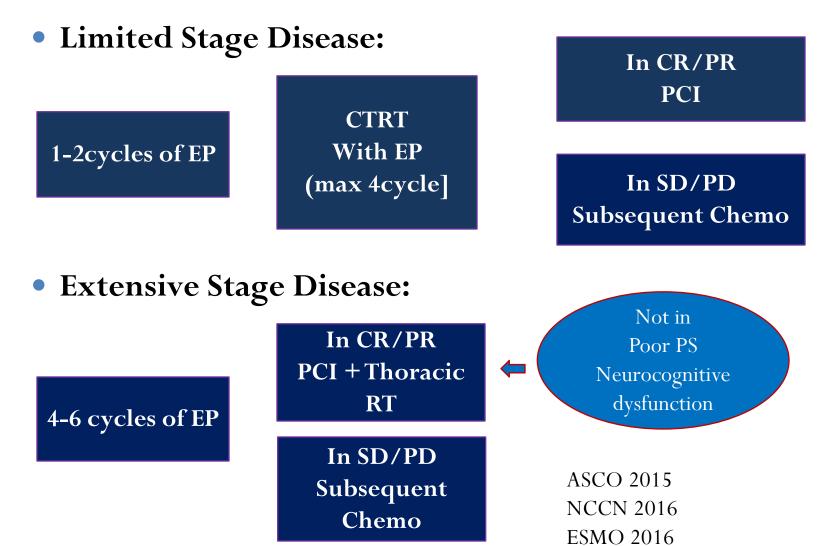


Median F/U	9.5 months (7-56)
Median survival	8 months
Median DFS	4 moths
Failure site	
Lung	8(72.7%)
Node	1(09.1%)
Bone	2(18.1%)

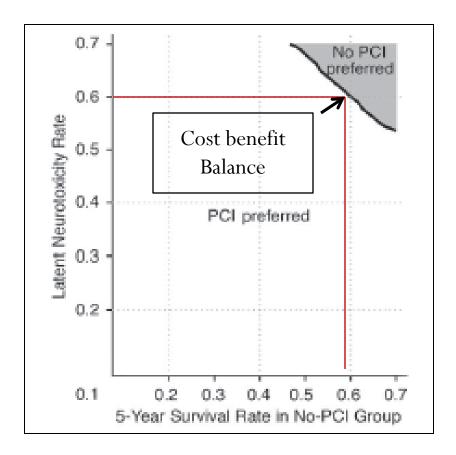
Acknowledgment : Dr. Sourav Ghosh & Dr. Satadru Biswas



# **Standard Treatment of SCLC**



# **PCI or No PCI: The Future**



Superiority of PCI over No PCI depends on

- Low 5 year survival (<40-60%)
- Low/Moderate neurotoxicity rate

Adopted from Lee JJ et al. JCO 2006

### Take home message

- 50% reduction of isolated brain relapse in all stages.
- Translate survival advantages (5% increase in 5years).
- Prior MRI to exclude asymptomatic brain mets is needed.
- Only for patients who have <u>responded</u> to CT/CTRT.
- Only after planned induction treatment.
- Only with normal baseline neurological status, age <65Yrs and good PS.</li>
- Long term neurocongnitive data still not robust.



# Thank you